

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/988,537 8/10/97 ISHIBASHI

K 018775-718

BURNS DOANE SWECKER & MATHIS

FO. BOX 1404

ALEXANDRIA, VA 22313-1404

NOT ASSIGNED

2101

**DATE MAILED:**

03/23/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**

☐ small entity (statement filed) ☒ non-small entity is \$ 120

☐ 1. The statutory basic filing fee is:

☐ missing☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$\_\_\_\_\_, including any multiple dependent claim fees, are required.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

**04/03/1998** **BALDWIN 0000676** either pay the additional claim fees or cancel additional claims for which fees are due.

01 FLE105 The oath or declaration 130.00 OP

☐ is missing or unexecuted.

☐ does not cover the newly submitted items

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37.CFR.1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application does not comply with the Sequence Rules

See attached "Notice to Comply with Sequence Rules" 37 CFR 1.821-1.825

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

018755-718

Argemone - Thymel

PAN/CW  
TO BE RETURNED

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

023 (REV 8-97)

BEST AVAILABLE COPY

Patent

Attorney's Docket No. 018775-718

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of )

Kenji ISHIBASHI et al. )

Application No.: 08/988,537 )

Filed: December 10, 1997 )

For: IMAGE OBSERVATION APPARATUS )

Group Art Unit: Unassigned

Examiner: Unassigned

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION****BOX: MISSING PART**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.

§ 1.53(e) dated March 23, 1998, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of ☐ \$65.00 ☒ \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- ☐ a Declaration Claiming Small Entity Status, and a Request for Refund;
- ☐ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k);
- ☒ an Assignment document and the \$40.00 Assignment Recording Fee;
- ☒ other Request For Corrected Official Filing Receipt;
- ☒ a check in the amount of \$ 170.00 for the fee due; and
- ☐ charge \$ \_\_\_\_\_ to Deposit Account No.02-4800 for the fee due.

Transmittal Letter for Missing Parts of Application

Application No. 08/988,537

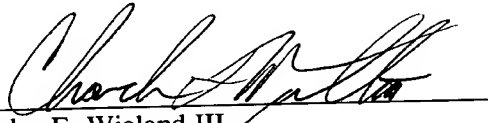
Attorney's Docket No. 018775-718

Page 2

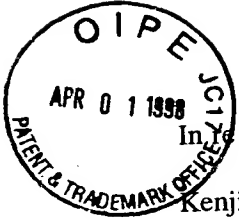
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
Charles F. Wieland III  
Registration No. 33,096

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620  
Date: April 1, 1998



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of  
Kenji ISHIBASHI, et al.

Application No.: 08/988,537

Filed: December 10, 1997

For: IMAGE OBSERVATION APPARATUS

) **ATTENTION:**  
) **APPLICATIONS BRANCH**  
)  
)  
) Group Art Unit: 2101  
)  
) Examiner: Unassigned  
)  
)

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show corrections that are needed. The corrections are as follows.

Please insert the inventor's addresses after their names as follows:

- 1) Osaka-shi, Japan;
- 2) Osaka-shi, Japan;
- 3) Osaka-shi, Japan;
- 4) Osaka-shi, Japan; and
- 5) Osaka-shi, Japan.

Please add the Priority Information as follows:

- 1) Japan - 8-329447 - Filed December 10, 1996;
- 2) Japan - 8-329449 - Filed December 10, 1996; and
- 3) Japan - 8-330668 - Filed December 11, 1996.

Issuance of a corrected Official Filing Receipt is respectfully requested.

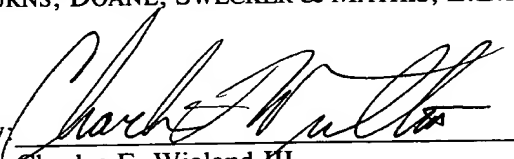
[ ] This Request for Corrected Official Filing Receipt is being filed to correct a Patent Office error. No fee is required.

[X] The \$25.00 fee required under 37 C.F.R. § 1.19(h) to correct an Official Filing Receipt due to applicant error: [X] is enclosed; [ ] is authorized to be charged to Deposit Account No. 02-4800 and this paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By



Charles F. Wieland III  
Registration No. 33,096

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: April 1, 1998

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE #5  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/988,537 APR 0 1 1998	12/10/97	2101	\$790.00	018775-718	11	19	3

BURNS DOANE SWECKER & MATHIS  
FOUNDER 1404  
ALEXANDRIA VA 22313-1404

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

*Osaka-shi, Japan* *Osaka-shi, Japan* *Osaka-shi, Japan*  
KENJI ISHIBASHI; YASUSHI KOBAYASHI; YASUMASA SUGIHARA;  
YASUSHI TANIJIRI; HIDEKI NAGATA.  
*Osaka-shi, Japan* *Osaka-shi, Japan*

Priority Information

FOREIGN FILING LICENSE GRANTED 03/19/98

TITLE

IMAGE OBSERVATION APPARATUS

PRELIMINARY CLASS: 396

Japan - 8-329447 - Filed 12/10/96;  
Japan - 8-329449 - Filed 12/10/96; and  
Japan - 8-330668 - Filed 12/11/96.

BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
RECEIVED

MAR 25 1998

DOCKETED

*018775-718*  
*Aoyama & Partner*  
*PNM/CFW*